

Annex – Supporting Documents

A1 Networld2020 Governance Model and Annex



Structure and Organisation of the "European Technology Platform for Communications Networks and Services – NetWorld2020 Platform"

Governance Model

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Release note: This version of the Governance Model replaces the version of September 2013. The only changes are the introduction of the name "NetWorld2020" and its logo, and a number of editorial corrections.

1. INTRODUCTION

Communications in general is fundamental to all social and economic activities with an impact much bigger than the Internet. Mobility of communications between people, and increasingly machines of all kinds, has further enhanced the impact. It has been instrumental in the optimisation of economic processes on a global scale with respect to productivity ("globalisation"). Mobile and wireless communications has become one of the most important global industries. This development is progressively extending from voice to data and multimedia services of all kinds. It will provide mobile and flexible access to electronic media, equipment and infrastructures, made up of a range of existing and future wireless technologies, in a seamless and transparent manner. "NetWorld2020" stands for this vision. All means of communication networks are part of this vision.

NetWorld2020, the European Technology Platform for seamless and transparent access to mobile and wireless, fixed and satellite-based voice, data and multimedia services.

Communication networks are a key enabling technology for all sectors of our societies and economies. The telecommunications and the IT market represent a volume of about 2500 billion € globally. International studies like by the Worldbank and OECD have shown that access to broadband communication systems increase economic growth significantly. Communication networks enable a huge leveraging effect from direct employment in the communication sector to indirect employment from the support service sector and multiplier effects in application domains. The overall employment in the ICT domain in the EU 28 member States is in the order of about 7 million people. Collaborative research in Europe contributed significantly to the development of new systems and the acceptance in global standards. However, Europe's position is being challenged by increased global competition with respect to innovation strength, know-how and cost factors. Continuous action is needed to ensure that Europe benefits fully from the coming waves of innovation and its associated employment growth and to ensure that Europe derives maximum economic and social benefits from this growth.

In order to reinforce Europe's position as the leading region in mobile and wireless, fixed and satellite communications, the

"European Technology Platform for Communications Networks and Services – NetWorld2020 Platform"

(hereinafter referred to as the "NetWorld2020 Platform") is being created based on a common vision and a set of recommendations, which were originally developed in 2004. In preparation of Horizon 2020, the European Technology Platforms in the communication networking domain were restructured in 2013 by a group of leading industrial players in different network infrastructure technologies from the FP6/FP7 European Technology Platforms

- eMobility [1] [2] – rebranded into Net!Works at Future Internet Assembly in Ghent, December 2010 [3] – and
- ISI,

following their both recognition as European Technology Platform in the context of the upcoming "Strategy for European Technology Platforms: ETP 2020" in July 2013 [9] [10].

In this context, **the scope of the technology areas of NetWorld2020** includes

- mobile and wireless communications – cellular, short range and point-to-point systems,
- fixed communications – wireline and optical systems,
- satellite communications – fixed, mobile and broadcast satellite systems, and

- communication network infrastructures combining some or all of the above technologies.

The eMobility / Net!Works and ISI Platforms formally evolved into European Technology Platforms (ETPs) in 2005 as described in [4 and 5]. The challenge of ensuring and improving European leadership in communication networks is part of a long-term programme of actions. Therefore, in the context of the preparation of the future European and national research programs and in particular of Horizon 2020 Programme of the European Commission (e.g. the 5G Infrastructures Public Private Partnership in Horizon 2020), the Net!Works and ISI Platforms formally evolved into a new technology platform NetWorld2020 in 2013.

Collaboration in research and innovation in current and previous EU Programmes has been very successful and has played a very constructive role in developing global leadership in communications networks markets. Industry is willing to allocate funds and human resources to the continuation and extension of collaborative R&D at EU level, and is convinced that "NetWorld2020" will be essential for economic growth in Europe.

This document presents the organisational structure of the NetWorld2020 Platform. In this document the term "EU Commission" refers to these units in the Commission Services, which are responsible for research activities in the ICT domain.

2. VISION AND MISSION OF THE NETWORLD2020 PLATFORM

NetWorld2020 is the European Technology Platform for communications networks. Communications networks enable interaction between users of various types of equipment, either mobile or fixed; they are the foundation of the Internet. The NetWorld2020 European Technology Platform gathers players of the communications networks sector: industry leaders, innovative SMEs, and leading academic institutions.

The communications networks industry is supporting the following NetWorld2020 vision for the further development of mobile and wireless, fixed and satellite communications:

- Contribute to **collaborative research programmes** on European and national level for collaborative research in the domain of **mobile and wireless, fixed and satellite communication networks** by a regularly updated research agenda.
- Future communication networks will provide
 - Significantly higher mobile and wireless area capacity and more varied service capabilities compared to 2010, which will be supported by fixed backbone and access networks and complemented by satellite networks.
 - Saving 90% of energy per service provided.
 - Reducing the service creation time cycle significantly.
 - Creating a secure, reliable and dependable Internet with zero perceived downtime for services.
 - Facilitating very dense deployments of mobile and wireless communication links for over 7 trillion wireless devices serving over 7 billion people.
 - Ensuring User controlled privacy.
- Support for **business & government processes** improves the competitiveness of the European economy.
- Services **hide complexity** from the user with interoperability between different access systems.
- **Multi-layered mobility** – users move & change devices, sub-networks in trains & cars move, software moves.
- **Peer-to-peer** communities emerge to empower people to collaborate.
- Opportunities for **social applications** expand through always-with-you qualities and reducing isolation.

This results in the mission statement of the NetWorld2020 Platform:

- To develop position papers on technological, research-oriented and societal issues, which are agreed in NetWorld2020 bodies to receive a joint mandate.
- To seek discussion of issues with decision makers in the political and public domain as well as in the industry and research community to bridge the gap between research and innovation and the expectations from the European society.
- To regularly develop an updated Strategic Research and Innovation Agenda (SRIA) for Europe in the communication networks domain in an open process in order to guide industrial and long-term oriented research and to provide means for future economic exploitation in global standards and the widespread deployment of communication systems and networks.
- To strengthen Europe's leadership in networking technology and services so that it best serves Europe's citizens and the European economy.
- To support the 5G PPP initiative through the provision of the Association Members, the provision of the SRIA (including revisions) and promoting the active involvement of the ETP community in 5G initiative proposals and projects.
- To support general communication networks R&D&I issues.

Further details are available at: <http://www.networld2020.eu/>.

These activities provide value for Europe in:

- Helping to ensure **inclusion** in Europe using an advanced communication infrastructure and **bridging the Digital Divide**.
- **Empowering citizens** with new applications.
- Creating **new opportunities** for businesses and governments.
- Creating **new wealth** in the European economy.
- Focussing **European resources** to achieve critical mass in R&D and build on **European leadership** in communications technology.
- Aligning a range of **EU instruments** in relation to the key issues of communications technology.

3. BASIC CONDITIONS

With respect to [6] the key objectives of the Technology Platforms are:

- The drawing up of a strategic research agenda.
- The achievement of the necessary critical mass for research and innovation.
- The mobilisation of substantial public and private funding.

The EU Commission, DG Research & Innovation, redesigned the ETP strategy [7] with the mission

ETPs will:

- 1) *provide a coherent business focused analysis of research and innovation bottlenecks and opportunities related to societal challenges and industrial leadership actions and develop strategies to address these: strategy function;*
- 2) *mobilise industry and other stakeholders within the EU to work in partnership and deliver on agreed priorities: mobilising function;*
- 3) *share information and enable knowledge transfer to a wide range of stakeholders across the EU: dissemination function.*

The objectives of the ETPs will be delivered through the following activities, which can be broadly categorised as:

- *developing Strategic Research and Innovation Agendas, including the identification of skills requirements and of regulatory as well as other non-technological barriers;*

- *encouraging industry participation in Horizon 2020 and helping widen participation and build capabilities within Member States through active cooperation with networks/partnerships in Member States.*
- *identifying opportunities for international collaboration and developing the necessary understanding to facilitate future collaboration;*
- *delivering networking opportunities including with other ETPs to address cross-sectoral challenges and help the move towards more open models of innovation, by providing opportunities for stakeholders to meet, exchange knowledge, make new contacts and develop ideas for joint working;*
- *facilitating the formation of new partnerships utilising expertise and understanding within the ETP to for example, identify parties capable of working together to exploit the outcomes of a research project or address a specific challenge;*

These objectives are especially related to the preparation of Horizon 2020.

The NetWorld2020 Platform will support the establishment and operation of a 5G Infrastructure Public Private Partnership (PPP) to

- Allow the development of communication networks solutions from an end-to-end perspective.
- Enable a close and direct cooperation of complementary projects under the umbrella of the 5G Infrastructure PPP Platform.
- Ensure sufficient impact in the international domain of mobile and wireless, fixed and satellite communications.
- Maintain and improve the position of European industry with respect to global competition.

The actual research work will be carried out in the projects under the umbrella of the 5G Infrastructure PPP. Budget for funding of research will be allocated to these established project consortia, which will have a contract with the Commission.

All major players in the mobile and wireless, fixed and satellite communication networks domain will be involved in established projects under the umbrella of the 5G Infrastructure PPP.

Technology Platforms should be led by the industry [7 and 8] in order to maintain and improve Europe's position in the area. However, the activities will be shared with the SME and academic and R&D centre domain to involve all the available expertise and resources in Europe.

4. NETWORLD2020 PLATFORM TASKS

The NetWorld2020 Platform is the facilitator to prepare the future research and to enable harmonisation and consensus building in the area. Projects under the umbrella of the 5G Infrastructure PPP should cooperate and complement each other towards common objectives in order to address the entire value chain in the sense of end-to-end solutions. The cooperation with other research programmes on EU and national level has to be ensured.

The actual activities of the NetWorld2020 Platform towards Horizon 2020 are

- to support and consult the EU Commission and national authorities on Horizon 2020 and to discuss with the EU Parliament and associations;
- to update annually the Strategic Research Agenda;
- to support via the Association of the 5G Infrastructure PPP the establishment of PPP projects.

The NetWorld2020 Platform will have the following main tasks:

- Draft the technical description of the Strategic Research Agenda (SRA) reflecting the perception of sector actors based on the state-of-the-art and shared vision of future developments.
- Consult the sector actors concerned and establish a shared perception.
- Identify requirements and opportunities for EU R&D collaborative efforts taking into account commercial developments and work within other frameworks.
- Assist the Commission in the identification of requirements, options and priorities for collaborative R&D funding (related parts of the SRA on which the Commission bases its Call for Proposals).
- Support the initiation complementary research projects with respect to an end-to-end perspective.
- Monitor and advise on the on-going work taking into account the evolution in the understanding of requirements and options.
- Report to the Commission and sector actors on the progress and re-orientation of the SRA.
- Support rapid uptake of technology developments by application industries.
- Provide a general communications plan and publicity for the NetWorld2020 framework.
- Conduct a continuous dialogue with the EU Parliament and regulatory agencies.

The NetWorld2020 Platform is establishing close links to basic research in order to exploit early results, which can have a very profound impact, and inversely to initiate basic research where problems do arise.

5. ORGANISATIONAL STRUCTURE OF THE NETWORLD2020 PLATFORM

The NetWorld2020 Platform is a facilitator to establish complementary and cooperating research projects and related activities in the 5G Infrastructure PPP. The NetWorld2020 Platform should comprise all stakeholders in the value chain, Member States, and the potential financial community. This includes industry, SMEs, academia, European Commission in a consultancy role, and other potential sources of funding (e.g. European Investment Bank and/or Structural Funds). Figure 1 shows the structure of the NetWorld2020 Platform. According to the governance model for the 5G PPP the Full Members of the 5G PPP Association are

- the elected NetWorld2020 Steering Board organisations and
- 6 additional members (20 % of number of NetWorld2020 Steering Board members) for the 5G PPP Association elected by the NetWorld2020 General Assembly in order to complement missing sectors and to ensure wide diversity of views, which are not represented by NetWorld2020 Steering Board members.

The Full Members of the Association will sign a Member's Agreement, which is a contractual arrangement between the members of the Association.

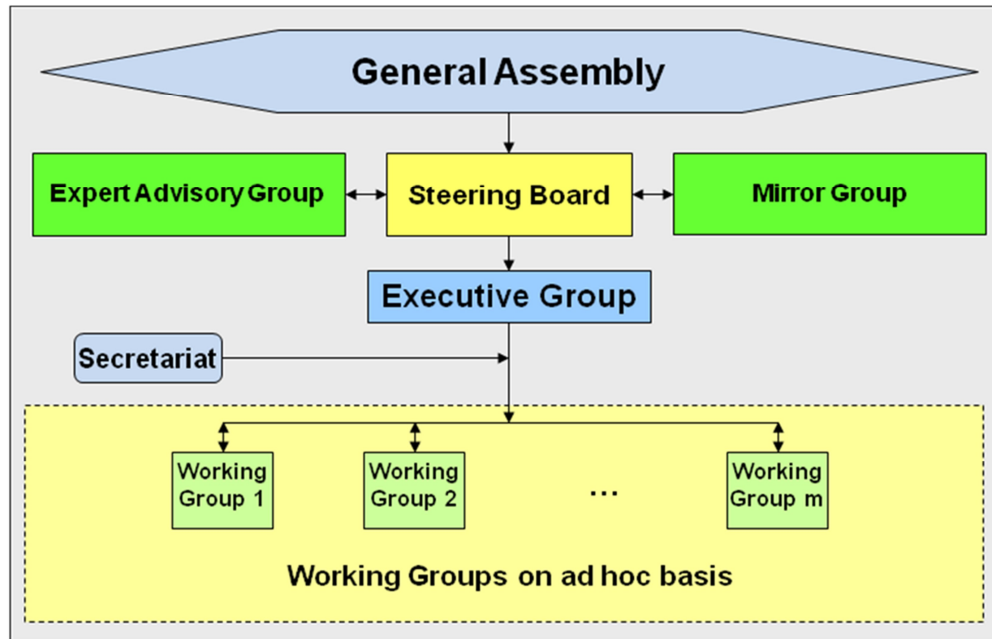


Figure 1: NetWorld2020 Platform structure

The different stakeholders will be represented in different bodies and capacities according to Figure 1. Types of participation in the Platform are:

- General Assembly: Participation for all member organisations (5.1.1).
- Steering Board: Participation according to an election procedure. The Steering Board composition (Section 5.1.2.2) ensures industry commitment and industry lead (5.1.2).
- Executive Group: Responsible for the daily work formed out of Steering Board organisations (5.1.3).
- Expert Advisory Group: Participation of technical experts from academia, SMEs and industry to support the Steering Board (5.1.4). NetWorld2020 membership is desirable but not an obligatory prerequisite for participation to this group.
- Mirror Group: Participation for representatives of public authorities, national and regional bodies (5.1.5).
- Working Groups: Participation out of the NetWorld2020 Platform member organisations (5.1.6).

The organisational structure has to ensure:

- on the one hand openness for participation and transparency of information flow and decision making and
- on the other hand an efficient management of the activities and the decision making process.

The NetWorld2020 Platform is a supporting instrument in Horizon 2020 to support the launch of complementary and cooperating projects. It will support also Framework Programme 7 and the remaining projects to ensure continuity in the transition phase towards Horizon 2020 and with previous ETP organisations.

5.1 Bodies in the NetWorld2020 Platform

5.1.1 General Assembly

The General Assembly should represent a broad membership from all interested stakeholders in order to take into account in the activities the views and interests of all

sector actors and active players. All members in the NetWorld2020 Platform are represented in the General Assembly

Full Membership

Any

- legally established corporation and individual firm, partnership, university and research institute,
- based in the European Member States, Candidate States and Associated Countries and
- supporting the mission and vision of the NetWorld2020 Platform

is eligible for applying for membership in the NetWorld2020 Platform. Each organisation should declare in its membership application to which of the three Stakeholder Groups it belongs

- industry (e.g. vendors, operators, content providers, and other service providers),
- SMEs or
- research domain (universities, R&D centres)

COOP Membership

Any organisation or entity that does not qualify for full membership as detailed above, e.g. government bodies, international organisation or organisations from a region outside of the area required for full membership may apply nevertheless. These organisations can be accepted as COOP (Cooperation) members. As a COOP member the organisation can express their support to the activities of the NetWorld2020 ETP, contribute to the activities, and will also be able to timely receive news and information from the Platform. COOP members do however not have voting rights in the General Assembly and cannot be elected to the Steering Board.

General requirements on membership application

Each Full or COOP member organisation can become member only with one entity; additional branches setup in the same or a different country, subsidiaries, holdings etc. do not qualify for separate membership. All members must be reachable via preferably two, but at least one contact person by e-mail. It must be possible to verify the existence of the applicant, e.g. through their webpage or alternatively through the provision of suitable documents. Member applications are for approval by the Steering Board.

5.1.1.1 General Assembly Meetings

Each member organisation in the General Assembly has the right to send a representative to General Assembly meetings and has one vote. Further representatives from a member organisation may attend if space permits but these do not have a vote.

General Assembly meetings will be organised by the Steering Board at least once a year. The meeting date should be published 3 months ahead of the meetings.

The Chairperson of the Steering Board will convene and chair General Assembly meetings.

Issues for decision in the General Assembly have to be distributed in the invitation including the meeting agenda latest 2 weeks ahead of the meeting date.

Additional General Assembly meetings may be convened if at least 20 % of its members so request.

5.1.1.2 Responsibilities

The main responsibilities are:

- Decision on changes in the Terms of Reference and the organisational structure.
- Election of the members of the Steering Board in the different Stakeholder Groups.
- Election of additional members for the 5G PPP Association (cf. Sections 5.1.2.1 and 5.1.2.7).
- Decision on suspension, exclusion or expulsion of members in the NetWorld2020 Platform.
- Contribute to the Strategic Research Agenda, reports and recommendations.
- Contribute to the NetWorld2020 workplan for the establishment of Working Groups and the initiation and facilitation of project proposals.
- Contribute to the Terms of Reference and the organisational structure.

Each member of the General Assembly can submit contributions to the Steering Board for review on the Strategic Research Agenda, the workplan, the Terms of Reference and the organisational structure.

The General Assembly will review the work done by the Steering Board and the Working Groups.

5.1.1.3 Decision Making Process

Decisions should be taken by consensus wherever possible. The Chairperson shall make every reasonable effort to facilitate consensus amongst the members of the General Assembly. If no consensus can be reached, decisions will be made by a voting procedure:

- A: Simple majority of the member organisations either present in the meeting and proxy voting or answering electronic voting in the cases:
- Election of the members of the Steering Board in the different Stakeholder Groups (procedure: c.f. Steering Board under Section 5.1.2).
 - All other decisions except decisions under B).
- B: Two-thirds majority of the member organisations either present in the meeting and proxy voting or answering electronic voting in the case:
- Decision on suspension, exclusion or expulsion of members in the NetWorld2020 Platform.
 - Revision of Steering Board voting procedure.

Decisions either in physical meetings or via electronic voting or proxy voting require a quorum of at least ten percent of the member organisations in the General Assembly.

Voting decisions are made either in physical meetings, in which case voting is by members being present or represented through proxy voting, or via electronic voting. In the case of electronic voting a time period for answering of 21 days (3 weeks) has to be provided. Silence up to the deadline means approval to ensure a decision in time.

Proxy voting will be possible for members, who are not able to attend physical General Assembly meetings. The following limits apply:

- Representatives of the SME and Research stakeholder group can have up to 10 proxy votes and
- representatives of the Industry stakeholder group can have up to 3 proxy votes.

Proxies can vote on behalf of non-present organisations only in the same Stakeholder Group:

- SME proxy votes by SME representative,
- Research proxy votes by Research representative and
- Industry proxy votes by Industry representative.

A proxy needs to present a power of attorney from the represented organisation, on which behalf the proxy is entitled to vote. The proxy has to follow directions of the represented organisation.

5.1.2 Steering Board

The Steering Board is the governing body of the NetWorld2020 Platform.

5.1.2.1 Responsibilities

The main responsibilities of the Steering Board are:

- Election of the Steering Board Chairperson and three Vice-Chairpersons. The Chairperson will also chair the General Assembly.
- Implementation of the NetWorld2020 Platform.
- Initiation of the election process of Steering Board members in the General Assembly in time by publishing a Call for Candidature in minimum 6 weeks ahead of elections.
- Preparation of a candidate list to elect 6 additional Full Members for the 5G PPP Association by the NetWorld2020 membership and organising a voting procedure (cf. Section 5.1.2.7).
- Guidance on strategic direction, conflict resolution and the related proposals for the General Assembly.
- Invitation of members of the Expert Advisory Group.
- Decision on the appointment of up to 3 observers in the Steering Board. The observer from the Commission is nominated by the Commission Services. The observer from ESA is nominated by ESA.
- Proposal and preparation of changes in the Terms of Reference and the organisational structure.
- Development and approval of the Strategic Research Agenda, of reports and recommendations.
- Development and approval of the workplan for the establishment of Working Groups and the preparation of project proposals.
- Support and facilitating of complementary and cooperating project proposals under the umbrella of the 5G Infrastructure PPP by taking into account contributions of the General Assembly.
- Handling of membership issues such as accepting new members in the NetWorld2020 Platform.
- Proposals for suspension, exclusion or expulsion of members in the NetWorld2020 Platform.
- Organisation of General Assembly meetings.
- Organisation of electronic voting procedures for General Assembly decisions, if no physical meeting is called for.

5.1.2.2 Composition of the Steering Board

The General Assembly is electing the Steering Board representing the following Stakeholder Groups with the following number of seats on the Board:

- 18 Industry Representatives (e.g. manufacturers, vendors, operators, content providers, and other service providers) (voting rights)
- 6 SME Representatives (voting rights)
- 6 Research Domain Representatives (universities, R&D centres) (voting rights)
- 3 Observers (no voting rights)
- 1 observer from the Commission (directly nominated by the Commission services) (no voting rights)
- 1 observer for the European Space Agency (ESA) (no voting rights)

This results in:

- 30 member organisations with voting rights and
- 5 observers without voting rights.

The size of the Steering Board has to be limited to ensure an efficient decision making process.

Representatives to be elected as members of the Steering Board have to fulfil the following criteria:

- Strategic commitment to European R&D in particular by the following means:
 - Strong European footprint: Organisations with headquarters outside of the EU Member States, Candidate States and Associated Countries can participate, if they demonstrate a commitment to European R&D. *
 - Participation in European research programs (e.g. FP7, Horizon 2020 and/or Eureka or other programs) either with relative significant manpower and/or accepting the task to coordinate research projects *
 - or the ability of the organisation to contribute to the solution of EU societal challenges.

** These requirements are related to the size of the organisations.*

5.1.2.3 Election Procedure for the Steering Board

The Steering Board will be elected for a period of two calendar years. Each Stakeholder Group (Industry, SME and Research) will elect its own representatives out of the members in the Stakeholder Group in the General Assembly according to the following procedure:

- Each Stakeholder Group will collect a list of candidates for the available positions in the Steering Board based on a Call for Candidature.
- If the number of candidates is above the number of available seats, a formal election procedure in the Stakeholder Group will be necessary.
- A secret ballot will be held to elect the representatives of its Stakeholder Group.
- Every member in the Stakeholder Group will submit a ballot with the list of his preferred candidates up to the number of seats available, chosen from the candidates of its Stakeholder Group.
- The candidates with the most votes per Stakeholder Group become elected as representatives in the Steering Board up to the number of available seats.
- In case of a tie a second ballot between the involved organisations will be necessary.
- If the number of candidates is below the number of available seats per Stakeholder Group, no formal election for this Stakeholder Group will take place and all available Candidates are becoming the representatives of their Stakeholder Group, which will remain smaller than the number of available seats.

Proxy voting according to Section 5.1.1.3 will be possible for members, who are not able to attend physical General Assembly meetings. The Chairperson and three Vice-Chairpersons of the Steering Board will be elected by the Steering Board member organisations by simple majority for a term of two calendar years.

5.1.2.4 Composition of the Steering Board and election procedure based on the first election of the new ETP in October 2013 for a term of two years

The new ETP will be built based on the membership of the former ETPs ISI and Net!Works. In order to facilitate the integration of both communities in a new organisation, the composition of the Steering Board will allocate a fixed number of seats for the two former communities with the following objectives:

- The members of the new Steering Board should represent the current ISI and Net!Works membership base according to their sizes.
- The size of the Steering Board should be in a range to have on the one hand sufficient representation of the sector and on the other hand keep a manageable level. The size is 30 seats.
- This results in
 - 24 seats for the former Net!Works community and
 - 6 seats for the former ISI community.

This results in the detailed composition:

- 18 Industry Representatives (e.g. vendors, operators, content providers, and other service providers) (voting rights)
 - 14 representatives from the former Net!Works community
 - 4 representatives from the former ISI community
- 6 SME Representatives (voting rights)
 - 5 representatives from the former Net!Works community
 - 1 representatives from the former ISI community
- 6 Research Domain Representatives (universities, R&D centres) (voting rights)
 - 5 representatives from the former Net!Works community
 - 1 representatives from the former ISI community

Ahead of Steering Board elections a call for candidates is issued by each ETP Net!Works and ISI, where the candidate lists are prepared separately and independently. A legal entity can only be represented once in the Steering Board. The received candidates from both communities Net!Works and ISI are merged in their respective stakeholder groups, but keep a label indicating their origin which results in the three lists:

- Industry candidates from Net!Works and ISI
- Research candidates from Net!Works and ISI
- SME candidates from Net!Works and ISI

The election procedure for the three stakeholder groups according to the three lists as described in Section 5.1.2.3 is applied. After casting the votes the following procedure is applied:

- The candidates are sorted in each stakeholder group according to the number of votes they received.
- If a legal entity submitted two candidatures (on the Net!Works and the ISI list), the one with the lower number of received votes is removed from the list.
- On the resulting list, the guaranteed number of candidates for both ISI and Net!Works which rank highest are elected.

It is the objectives to integrate all members of the new ETP as a single community. Therefore, the election procedure will be reviewed by the Steering Board in the second year of this first term, whether the separation in the two former communities will be applied again for future elections. If the election process has to be modified, it will have to be designed to take into account the representativeness criteria ensuring an effective participation of all technology communities and organisation profiles (industry, R&D and SMEs) in the Steering Board. The new election process shall be approved through consensus. In case full consensus cannot be reached it shall be approved according to the B voting procedure (see Section 5.1.1.3 General Assembly Decision Making Process).

5.1.2.5 Steering Board Meetings

Steering Board meetings will be organised by the Chairperson about three to four times a year. The meeting dates should be agreed at least 3 months ahead of the meetings. The meeting invitation should be issued at least 3 weeks ahead of the meeting and include the agenda and issues for decision. Intermediate Steering Board meetings could be organised through conference calls whenever needed.

5.1.2.6 Decision Making Process

Decisions should be taken by consensus wherever possible. The Chairperson shall make every reasonable effort to facilitate consensus amongst the members of the Steering Board. If no consensus can be reached, decisions will be made by a voting procedure:

- A: Simple majority of the member organisations present in the meeting or answering electronic voting in the following cases:
- Election of the Steering Board Chairperson and three Vice-Chairpersons.
 - Issues on the implementation of the NetWorld2020 Platform.
 - Decision on the invitation of members of the Expert Advisory Group.
 - Decision in the appointment of up to 3 observers in the Steering Board.
 - Decision on accepting new members in the NetWorld2020 Platform.
- B: A two-thirds majority of the member organisations present in the meeting or answering electronic voting in the following cases:
- Approval of the Strategic Research Agenda, reports and recommendations.
 - Approval of the workplan for the establishment of Working Groups and the initiation and facilitation of project proposals.
 - Decision on suspension, exclusion or expulsion of members in the NetWorld2020 Platform as proposal for the General Assembly.
 - Decisions on the Terms of Reference and the organisational structure.

Decisions either in physical meetings or via electronic require a quorum of at least two-thirds of the Steering Board member organisations.

Voting decisions will be made either during physical meetings or via electronic. In the latter case a deadline for responding within 10 days will be provided. Silence up to the deadline shall be deemed to mean approval, to ensure a decision in time.

The Steering Board can decide to invite further observers from relevant organisation to its meetings, where appropriate. The Chairperson of the Steering Board may invite experts and advisers.

The Steering Board organises collaborations with other related Technology Platforms and R&D activities.

The Steering Board may establish liaisons with other research activities for information exchange. In such case a liaison officer will be nominated by the Steering Board.

5.1.2.7 Election procedure for additional Full Members in the 5G PPP Association

The newly elected Steering Board will evaluate, which sectors are represented in the Board and which sectors are missing in order to ensure a complete representation of the entire value chain in the 5G PPP Association and to ensure as wide diversity of views as possible.

Based on this evaluation the Steering Board will issue a dedicated call for candidates to the NetWorld2020 Membership, which should represent the missing sectors. The list of candidates should have at least 6 candidates. This process follows the principles of openness and transparency.

The newly elected Steering Board initiates an electronic voting procedure according to Section 5.1.1.3 to elect the 6 additional Full Members in the 5G PPP Association by the NetWorld2020 membership. Each NetWorld2020 member organisation can elect up to 6 candidates from the entire candidate list.

The term of these additional Full Members is the same as the NetWorld2020 Steering Board.

5.1.3 General Assembly

The Steering Board may delegate organisational issues and the daily business to the Executive Group to prepare proposals for the Steering Board based on guidelines and decisions of the Steering Board and to run the activities between Steering Board meetings.

The Executive Group has decision power on organisational issues and the daily business under the mandate of the Steering Board. Decisions will be formally adopted by the Steering Board.

Decisions in the Executive Group have to be based on consensus. They will be taken either during physical meetings or via electronic voting. If decisions are made via electronic voting, a positive acknowledgement will be required.

The Executive Group will be recruited from amongst the Steering Board organisations.

5.1.4 Expert Advisory Group

This group should mainly represent R&D centres and the academic domain. Representatives from other stakeholder groups can also contribute. The major objective and responsibility of this group is to consult and give advice to the NetWorld2020 Platform from the scientific perspective and to advocate for the interests of the research community.

The composition of the group will be renewed latest in each election period of the Steering Board.

Members of the Expert Advisory Group will be invited by the Steering Board. The chair of the Expert Advisory Group is an invited participant to the Steering Board meetings but has no voting rights in the Steering Board.

5.1.5 Mirror Group

This group will represent the EU Member States and the associated states that are interested in participating in the NetWorld2020 Platform. These representatives may be regulators, government representatives, funding agencies and the European Investment Bank and/or Structural Funds. The ministries of research and economics and other related government agencies should be involved. Possibly, other stakeholders could be represented in this group. Special attention should be paid to the involvement of the new Member States in the Mirror Group.

The major objective and responsibility of this group will be to consult the NetWorld2020 Platform and to advocate for the interests of different groups.

5.1.6 Working Groups

The Steering Board will establish Working Groups on request of a group of interested organisations or based on a Steering Board decision to support the Steering Board, which are responsible for specific tasks such as but not limited to:

- Developing of the Strategic Research Agenda.

- Interaction and coordination with other Platforms relevant to the NetWorld2020 Platform.
- Interaction with other research activities relevant to the NetWorld2020 Platform.
- Implementation issues of the NetWorld2020 Platform.
- Preparing a research roadmap.
- Preparing a legal framework for the EU framework programmes and advice for Consortium Agreements.
- Policy aspects in relation to research topics.

Working Groups are developing position papers in their area of interest, which reflect views of that Working Group. Such positions can be published as Working Group views and marked as Working Group position papers. It should be possible in exceptional cases that also minority positions can be expressed and published, if they reflect the agreed position of the Working Group and even if no consensus could be reached in the NetWorld2020 ETP. However, an effort should be made by cooperation in NetWorld2020 and discussion of different positions in the NetWorld2020 ETP in order to achieve consensus positions as much as possible by respecting positions of particular groups. In case a consensus in the NetWorld2020 ETP cannot be reached, the publication should clearly state that it provides the views of the respective Working Group and does not represent the opinion of the whole ETP/Steering Board.

Members of the Working Groups are from the member organisations of the General Assembly.

The Steering Board appoints a facilitator to start a newly established Working Group. The Working Group Leader will be elected by the members of the Working Group in the first meeting. Working Group Leaders are responsible for reporting to the Steering Board and will participate in Steering Board meetings but without having voting rights in the Steering Board.

The list of existing Working Groups is available in the Annex of this document. The Annex will be updated regularly under the control of the Steering Board according to the process described above and will be made available on the ETP website.

5.1.7 Secretarial Support

The secretarial support will be performed by a project management organisation. In the case of a membership fee the amount will be due from the date of entry into membership and covers a calendar year. The amount will be decided on an annual basis. In the case of other funding solutions a membership fee should be avoided.

The following tasks will be performed:

- Maintenance of the NetWorld2020 Platform web site.
- Corporate identity and branding.
- The NetWorld2020 Platform member organisations register.
- Maintenance of mailing lists.
- Membership management including the handling of membership applications.
- Handling of NetWorld2020 Platform documents.
- Support of the Chairperson of the NetWorld2020 Platform and the Working Group Chairpersons to organise meetings.

The responsible persons for the secretarial support are responsible for reporting to the Steering Board.

5.2 Suspension, Exclusion and Expulsion

The General Assembly can decide to suspend or expel a member of the NetWorld2020 Platform in the following cases:

- Severe violation of the statutes, procedures, policies, duties of membership or resolutions adopted by the General Assembly.
- Breach of confidentiality provisions by a member.

Membership in the General Assembly shall automatically cease in case of bankruptcy, withdrawal, dissolution or cessation of business or of change in the nature of business of such that criteria for membership would no longer be complied with.

A new membership application may be made after one year from the date of expulsion.

5.3 Resignation

Resignations have to be notified in writing to the NetWorld2020 Platform secretarial support. Any such notice to withdraw shall take effect from the following calendar year.

5.4 Transparency

The Steering Board shall have a high regard for the transparency of its activities and the need both for including and informing all relevant stakeholders of the activities of the NetWorld2020 Platform.

Adopted and approved documents being prepared for publication will be made available to the public via the Internet.

The NetWorld2020 Platform shall make every effort to consult all relevant stakeholders on documents under preparation that have a general interest (e.g., the Strategic Research Agenda).

6. AMENDMENTS TO THE RULES OF PROCEDURE AND TERMS OF REFERENCE

The Terms of Reference and the Rules of procedure may be reviewed and amended at any time on request of at least three members of the Steering Board. The General Assembly has to decide on such changes, which will be prepared by the Steering Board.

7. INTELLECTUAL PROPERTY RIGHTS (IPR)

For individual research and technology development projects under public programmes such as Framework Programme 7, Horizon 2020, Eureka projects, intergovernmental, national or regional programmes the IPR provisions of the respective public programmes and of the project specific Consortium Agreement will apply.

All contributions to the Strategic Research Agenda should have a legal status that the material can be published.

8. DISCLOSURE OF INFORMATION AND CONFIDENTIALITY

Any and all information either orally disclosed or presented as material by a member of the NetWorld2020 Platform or its subgroups shall be deemed non-confidential except as may be provided below or as otherwise agreed to in a written agreement between the affected parties.

All information developed by the NetWorld2020 Platform shall be deemed to be Confidential Information of the NetWorld2020 Platform until made publicly available by a decision of the Steering Board. This should not affect the information disclosed or material presented by a member to the NetWorld2020 Platform or its subgroups. All works in

progress, minutes of General Assembly meetings, minutes of the Steering Board, results of Working Groups, and attorney work product shall in all cases be deemed Confidential Information of the NetWorld2020 Platform and subject to the terms hereof.

From time to time a member may deem it necessary to disclose information to the NetWorld2020 Platform itself or to the other members which such member considers confidential or proprietary (“Confidential Information”). In such instances the relevant information may be disclosed in confidence and shall be considered Confidential Information of the disclosing party if, and only if, the information is specifically designated as Confidential Information by the disclosing party at the time of disclosure. Any such designation shall be effected by (1) marking any information disclosed in writing in a manner which indicates it is the Confidential Information of the disclosing party; or (2) by orally indicating that any information disclosed orally is the Confidential Information of the disclosing party and then within ten (10) days providing all other members with a written summary of the orally disclosed Confidential Information so that such Confidential Information is more easily identified. By disclosing Confidential Information a member agrees that should any such Confidential Information be necessarily or inferentially disclosed in a publication of the NetWorld2020 Platform, such member shall allow publication of such information. All information disclosed by members prior to the date of this agreement directly for the purposes of the NetWorld2020 Platform shall be governed by the provisions of this section.

With respect to Confidential Information, the receiving party agrees, for a period of three (3) years, to use the same care and discretion to avoid disclosure, publication, and dissemination outside the receiving party and its affiliates⁵, contractors and consultants as the receiving party employs with its own Confidential Information, but no less than reasonable care. Any disclosure by a receiving party to its affiliates, contractors and consultants should be subject to an obligation of confidentiality at least as restrictive as those contained in this section. The foregoing obligation shall not apply to any information which is: (1) already known by the receiving party prior to disclosure; (2) publicly available through no fault of the receiving party; (3) rightfully received from a third party without a duty of confidentiality; (4) disclosed by the disclosing party to a third party without a duty of confidentiality on such third party; (5) independently developed by the receiving party; (6) disclosed pursuant to the order of a court or other authorized governmental body, or as required by law, provided that the receiving party provides reasonable prior written notice to the disclosing party, and cooperates with the disclosing party, so that the disclosing party has the opportunity to oppose any such order; or (7) disclosed by the receiving party with the disclosing party's prior written approval.

After resignation, suspension, exclusion, expulsion or non-renewal as a member, for any reason, a former member has a continuing duty under this Article.

9. ENTRY INTO FORCE

These rules or changes enter into force at the date of the adoption by the Steering Board and the agreement by the General Assembly.

⁵ Affiliate(s) of a party shall mean an entity which is directly or indirectly controlling such party, which is under the same direct or indirect ownership or control of such party, or which is directly or indirectly owned or controlled by such party. For these purposes, an entity shall be treated as being controlled by another if that other entity has fifty percent (50%) or more of the votes in such entity, is able to direct its affairs and/or to control the composition of its board of directors or equivalent body.

REFERENCES

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- [4] Communication from the Commission on a European Initiative for Growth: investing in networks and knowledge for growth and jobs, COM(2003) 690 final.
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- [9] EU Commission, DG Connect: Recognition of European Technology Platform ISI, letter to N. Chuberre (ISI chairman) from Robert Madelin, Director General Directorate General Communications Networks, Content and Technology, Brussels, CONNECT/F3/CO/ai, July 1st, 2013.
- [10] EU Commission, DG Connect: Recognition of European Technology Platform Net!Works, letter to W. Mohr (Net!Works chairperson) and U. Herzog from Robert Madelin, Director General Directorate General Communications Networks, Content and Technology, Brussels, CONNECT/F3/CO/ai, July 1st, 2013.



June 2014

Annex⁶ to the Structure and Organisation of the "European Technology Platform for Communications Networks and Services – NetWorld2020 Platform"

List of proposed Working Groups

This list summarises the proposed Working Groups of the European Technology Platform NetWorld2020. The proposed Working Groups will be presented to the founding meeting of the new ETP on October 29, 2013 in Brussels and formerly decided by the newly elected Steering Board. It is highly recommended to establish these working groups for a smooth transition from the Net!Works and ISI ETP to the new communication networks-oriented ETP NetWorld2020 and for an immediate start of work.

The new Steering Board will appoint facilitators for these Working Groups and the launched Working Groups will elect a Working Group Leader from its members.

This Annex will be updated from a

- "List of **proposed** Working Groups" to a
- "List of **active** Working Groups"

after the Steering Board decision and will be published on the ETP website.

The following initial Working Groups are proposed:

- Expert Advisory Group: Main objective to develop technical White Papers as contributions to updates of the Strategic Research Agenda.
- SatCom Working Group: Main objective to work on SatCom specific topics and the convergence of satellite communication with fixed and mobile communication networks

⁶ This Annex is managed by the NetWorld2020 Steering Board and will be updated after changes in the Working Groups. This Annex is published on the ETP website. Changes of this Annex do not require a change of the Governance Model via the General Assembly.